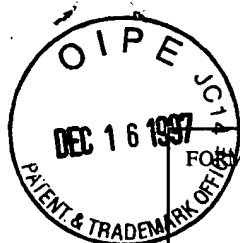


#2

Sheet 1 of 2

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 1963-4727		SERIAL NO. 08/933,500	
INFORMATION DISCLOSURE CITATION  (Use several sheets if necessary)				APPLICANT(S) Filepp et al.			
				FILING DATE 09/18/97		GROUP ART TBA	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Q		4,186,438	01/29/80	Benson et al.	364	200	
Q		4,289,930	09/15/81	Connolly et al.	<del>179</del>	<del>2 TV</del>	
Q		4,359,631	11/16/82	Lockwood et al.	235	381	
Q		4,575,679	03/11/86	Simon et al.	178	4	
Q		4,691,340	09/01/87	Maeda et al.	379	96	
Q		4,751,669	06/14/88	Sturgis et al.	364	900	
Q		4,805,119	02/14/89	Maeda et al.	364	518	
Q		4,805,134	02/14/89	Calo et al.	364	900	
Q		4,891,503	01/02/90	Jewell	235	380	
Q		4,897,782	01/30/90	Bennett et al.	364	200	
Q		4,916,441	04/10/90	Gombrich	340	712	
Q		4,949,300	08/14/90	Christenson et al.	364	900	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY/AUTHORITY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)							
Q		BLASGEN, M.W. et al., <i>System R: An Architectural Update</i> , IBM Research Report RJ2581, San Jose, Calif., July 1979.					
Q		LINDSAY, B.G., <i>Single and Multi-Site Recovery Facilities</i> . In <i>Distributed Data Bases</i> (Draffan and Poole, Eds.), Cambridge Univ. Press, Cambridge, U.K. (1980), chapter 10, pp. 247-284. Also available as IBM Research Report RJ2517, San Jose, Calif., July 1979.					
Q		LINDSAY, B.G. <i>Object Naming and Catalog Management for a Distributed Database Manager</i> . In <i>Proc. Second International Conference on Distributed Computing Systems</i> (Paris, April 8-10, 1981), pp. 31-40. Also available as IBM Research Report RJ2914, San Jose, Calif., August 1980.					
Q		GRAY, J., McJONES, P., BLASGEN, M., LINDSAY, B., LORIE, R., PRICE, T. PUTZOLU, F., and TRAICER, I. <i>The Recovery Manager of the System R Database Manager</i> . ACM Comput. Surv. 13.2 (June 1981), 223-242.					
EXAMINER [Signature]				DATE CONSIDERED 9/26/98			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
1963-4727SERIAL NO.  
08/933,500

## INFORMATION DISCLOSURE CITATION

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Filepp et al.

FILING DATE  
09/18/97GROUP ART  
TBA

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Q	5,043,919	08/27/91	Callaway et al.	364	521	
Q	5,151,989	09/29/92	Johnson et al.	395	600	
Q	5,179,660	01/12/93	Devany et al.	395	200	
Q	5,283,861	02/01/94	Dangler et al.	395	149	
Q	5,319,542	06/07/94	King, Jr. et al.	364	401	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY/AUTHORITY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)

Q		WILLIAMS, R., DANIELS, D., HAAS, L., LOPIS, G., LINDSAY, B., NG, P., OBERMARCK, R., SELINGER, P., WALKER, A., WILMS, P., and YOST, R. <i>R*: An Overview of the Architecture</i> . In Improving Usability and Responsiveness (P. Scheurman, Ed.), Academic Press, New York, pp. 1-22. Also available as IBM Research Report RJ3325, San Jose, Calif., Dec. 1981.
Q		DANIELS, D., SELINGER, P., HAAS, L., LINDSAY, B., MOHAN, C., WALKER, A. and WILMS, P. <i>An Introduction to Distributed Query Compilation in R*</i> . In Proc. Second International Symposium on Distributed Databases (Berlin, September 1-3, 1982), pp. 291-309. Also available as IBM Research Report RJ3497, San Jose, Calif., June 1982.
Q		MOHAN, C. and LINDSAY, B. <i>Efficient Commit Protocols for the Tree of Processes Model of Distributed Transactions</i> . In Proc. Second SIGACT-SIGOPS Symposium on Principles of Distributed Computing (Montreal, Canada, August 17-19, 1983), ACM, New York, pp. 76-88.
Q		SELINGER, P., DANIELS, D., HAAS, L. et al. <i>Site Autonomy Issues in R*: A Distributed Database Management System</i> . Information Sciences, Vol. 29, pp. 249-257 (1983).
Q		GECSEI, J., <i>The Architecture of Videotex Systems</i> , Chs. 1-4, 6, 9-12, 14, Prentice-Hall, Inc., March 25, 1983.
Q		LINDSAY, B., HAAS, L., MOHAN, C., WILMS, P. and YOST, R. <i>Computation and Communication in R*: A Distributed Database Manager</i> . In ACM Transactions on Computer Systems, Vol. 2, No. 1, February 1984, pp. 24-38.
Q		LINDSAY, B. <i>A Retrospective of R*: A Distributed Database Management System</i> . In Proceedings of the IEEE, Vol. 75, No. 5, May 1987, pp. 668-673.

EXAMINER

DATE CONSIDERED

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